

Docket No.: 60188-756

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277

Toyokazu FUJII, et al. : Confirmation Number:

Serial No.: Divisional of Appl. No.  
09/536,618 : Group Art Unit:

Filed: March 15, 2004 : Examiner:

For: A SEMICONDUCTOR DEVICE HAVING IMPROVED PLANARIZATION  
PROPERTIES AND A METHOD FOR FORMING THE SAME (AS AMENDED)

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Information Disclosure  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

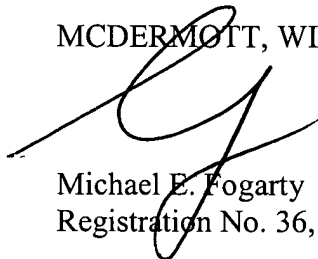
The references were cited by or submitted to the U.S. Patent and Trademark Office in parent application Serial Nos. 09/536,618 and 09/018,181, filed March 28, 2000 and February 3,

1998, which is relied upon for an earlier filing date under 35 USC 120. Thus, copies of these references are not attached. 37 CFR 1.98(d).

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in black ink, appearing to read "Michael E. Fogarty", is written over the printed name and registration number.

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>				ATTY. DOCKET NO. <b>60188-756</b>		SERIAL NO. <b>Divisional of Appl. No. 09/536,618</b>		
				APPLICANT <b>Toyokazu FUJII, et al.</b>				
				FILING DATE <b>March 15, 2004</b>		GROUP		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US	5,399,518	03/21/1995	Sim et al.			
		US	5,936,272	08/1999	Lee			
		US	6,093,943	07/2000	Ikemasu et al.			
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		US	5,916,694	06/1999	Tokura			
		US	5,652,165	07/1997	Lu et al.			
<b>FOREIGN PATENT DOCUMENTS</b>								
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number + -Kind Codes (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
							Yes	No
		JP	05102418	04/23/1993				
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		JP	6-188239 A	07/08/1994				
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		JP	11-195713 A	07/21/1999				
		KR	1996-0008865	07/05/1996				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
EXAMINER				DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.